

Constant Delay and Constant Feedback Moving Window Network Coding for Wireless Multicast: Design and Asymptotic Analysis

Fei Wu, Yin Sun, Yang Yang, Kannan Srinivasan, and Ness B. Shroff

Abstract—A major challenge of wireless multicast is to be able to support a large number of users while simultaneously maintaining low delay and low feedback overhead. In this paper, we develop a joint coding and feedback scheme named Moving Window Network Coding with Anonymous Feedback (MWNC-AF) that simultaneously achieves constant decoding delay and constant feedback overhead, irrespective of the number of receivers n , without sacrificing either throughput or reliability. We explicitly characterize the asymptotic decay rate of the tail probability of the decoding delay, and prove that injecting a fixed amount of information bits into the MWNC-AF encoder buffer in each time-slot (called “constant data injection process”) achieves the fastest decay rate, thus showing how to obtain delay optimality in a large deviation sense. We then investigate the average decoding delay of MWNC-AF, and show that when the traffic load approaches capacity, the average decoding delay under the constant injection process is at most one half of that under a Bernoulli injection process. We prove that the per-packet encoding and decoding complexities of MWNC-AF both scale as $O(\log n)$, and are thus insensitive to the increase of the number of receivers n . Our simulations further underscore the performance of our scheme through comparisons with existing schemes and show that the delay, encoding and decoding complexities are low even for a large number of receivers, demonstrating the efficiency, scalability, and ease of implementability of MWNC-AF.

Index Terms—Wireless multicast, low delay, low feedback, scaling law analysis.

I. INTRODUCTION

Wireless multicast has numerous applications: wireless IPTV, distance education, web conference, group-oriented mobile commerce, firmware reprogramming of wireless devices, etc, [1–3]. However, in reality, there are only a few deployments. A major challenge that wireless multicast techniques have so far not been able to overcome is to achieve low delay without incurring a large amount of feedback. In the literature, there are two categories of multicast coding strategies. The first category focuses on batch-based coding schemes, e.g., random linear network coding (RLNC) [4], LT codes [5], and Raptor codes [6]. In these schemes, the transmitter sends out a linear combination generated from a batch of B data packets in each

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time-slot. A new batch of packets cannot be processed until all the receivers have successfully decoded the previous packet batch. This approach has a low feedback overhead: one bit of acknowledgment (ACK) is sufficient to signal the decoding fate of an entire batch. However, with a fixed batch size, the achievable throughput decreases with the number of receivers n . To maintain a fixed throughput, the batch size B needs to grow on the order of $O(\log n)$ [7, 8]. As the batch size B increases, the decoding delay also grows as $O(\log n)$. Thus, such schemes achieve a low feedback overhead at the cost of a high decoding delay.

The second category of studies are centered on an incremental network coding design¹, e.g., [9–24], where the data packets participate in the coding procedure progressively. Therefore, the receivers that have decoded old packets can have early access to the processing of new data packets, instead of waiting for all the other receivers to decode the old packets. The benefit of this approach is low decoding delay. Some studies have even shown a constant upper bound of the average decoding delay for any number of receivers, when the encoder is associated with a Bernoulli packet injection process [11, 18]. However, these schemes need to collect feedback information from all receivers, and the total feedback overhead increases with the number of receivers n . Thus, these incremental-coding schemes achieve a low delay, but at the cost of a high feedback overhead.

Can we achieve the best of both worlds? This paper develops a joint coding and feedback scheme called Moving Window Network Coding with Anonymous Feedback (MWNC-AF) that achieves both constant decoding delay and constant feedback overhead², irrespective of the number of receivers n . Hence, it indeed shows that the best of both worlds is achievable. We present a comprehensive analysis of the decoding delay, feedback overhead, encoding and decoding complexities of MWNC-AF. The contributions of this paper are summarized as follows:

- We develop a joint coding and feedback scheme called MWNC-AF, and show that MWNC-AF achieves a constant decoding delay and a constant feedback overhead, irrespective of the number of receivers n , without sacrificing either throughput or reliability.

¹They are also referred as online or adaptive network coding in the literatures.

²By constant delay and constant feedback overhead, we mean that the delay experienced by any receiver and the overall feedback overhead of all receivers are both independent of the number of receivers n .

- We investigate how to control the data injection process at the encoder buffer to reduce the decoding delay of MWNC-AF. To that end, we explicitly characterize the asymptotic decay rate of the tail probability of the decoding delay for any *i.i.d.* data injection process. We show that injecting a constant amount of information bits into the encoder buffer in each time-slot (called “constant data injection process”) achieves the fastest decay rate, thus showing how to obtain delay optimality in a large deviation sense. (Theorem 1)
- We derive an upper bound of the average decoding delay for MWNC-AF under the constant data injection process. As the traffic load approaches capacity, this upper bound is at most one half of the average decoding delay achieved by a Bernoulli data injection process. (Theorem 2)
- For the constant data injection process, we prove that the average encoding complexity of MWNC-AF is of the form $\frac{1}{\eta} \log n + o(\log n)$ for sufficiently large n , and the value of the pre-factor η is attained as a function of the channel statistics and the injection rate. For any n , we also characterize the asymptotic decay rate of the tail of the encoding complexity distribution. (Theorem 3)
- For the constant data injection process, we prove that the average decoding complexity of MWNC-AF per data packet is also of the form $\frac{1}{\eta} \log n + o(\log n)$ for sufficiently large n , and the pre-factor $\frac{1}{\eta}$ is the same as that of the average encoding complexity. (Theorem 4)

The rest of this paper is organized as follows. In Section II, we introduce some related work. In Section III, we describe the system model and present our MWNC-AF transmission design. In Section IV, we analyze the decoding delay, encoding complexity, and decoding complexity of the MWNC-AF transmission design. In Section V, we use simulations to verify our theoretical results. Finally, in Section VI, we conclude the paper.

II. RELATED WORK

Batch-based rateless codes can generate a potentially unlimited stream of coded packets from a fixed batch of data packets. The coded packets can be generated on the fly, as few or as many as needed [5]. Examples of Batch-based rateless codes includes random linear network coding (RLNC) [4], LT codes [5], and Raptor codes [6]. RLNC³ is the simplest rateless codes, which can achieve near-zero communication overhead. However, the decoding complexity of RLNC is high [26] for large block size B . LT codes and Raptor codes were proposed to reduce the decoding complexity. In particular, Raptor codes can achieve constant per-packet encoding and decoding complexities. One benefit of batch-based rateless codes is low feedback overhead [27]. A feedback scheme was proposed in [28] for RLNC, which has a constant overhead independent of the number of receivers. However, these schemes have poor delay performance when the number of receivers n is large. Recent analyses have shown that, to maintain a fixed throughput, the batch size in these schemes needs to grow

³By RLNC, we refer to the specifications in [4, 7, 25].

with respect to the number of receivers n , which results in a long decoding delay [7, 8]. Scheduling techniques have been developed to optimize the tradeoff between the batch size and throughput under limited feedback for finite n [29, 30]. However, it is difficult to maintain a low decoding delay for large n , unless resorting to novel coding designs.

In recent years, a class of incremental network coding schemes, e.g., [9–24] are developed to resolve the long decoding delay of rateless codes. In these designs, the data packets participate in the coding procedure progressively. Among this class, an instantly decodable network coding scheme was proposed in [13, 14], where the number of receivers that can be effectively supported is maximized under a zero decoding delay constraint. In order to accommodate more receivers, the zero decoding delay constraint was relaxed in [15]. Nonetheless, these schemes cannot support a large number of receivers.

A number of ARQ-based network coding schemes are proposed since the seminal work [10, 11], which can potentially reduce the decoding delay and support a large number of receivers. In [10, 11], the desired packet of each receiver is acknowledged to the transmitter, such that the transmitted packet is a linear combination of the desired packets of all receivers. Without appropriate injection control, this scheme results in unfair decoding delay among the receivers with different packet erasure probabilities. A threshold-based network coding scheme was proposed in [12] to resolve this fairness issue, at the cost of certain throughput loss. A dynamic ARQ-based network coding scheme was proposed in [18], which can achieve a noticeable improvement in the throughput-delay tradeoff performance. Interestingly, when associated with a Bernoulli packet injection process, the average decoding delay of ARQ-based network coding is upper bounded by a constant⁴ that is independent of the number of receivers n [11, 18]. However, these schemes require explicit feedback from each receiver, and thus the total feedback overhead scales up with the number of receivers n . A generalization of ARQ-based network coding was the moving window network coding (MWNC), which was first proposed in [21] to make network coding compatible with the existing TCP protocol. The MWNC scheme was also employed in multihop wireless networks to improve the throughput of opportunistic routing [22] and support multiple multicast sessions [23]. However, in these designs, the movement of the encoding window requires the ACK from all receivers, and thus the feedback overhead scales up with the network size.

Recently, the first author proposed an anonymous feedback scheme for MWNC [19], which can achieve a constant feedback overhead for any number of receivers n . However, this feedback scheme assumed that all receivers are within a short range of each other and can communicate with one another, which may introduce the well-known hidden terminal problem in practical systems. In addition, the window size of the MWNC scheme was fixed in [19], which leads to a throughput degradation as the number of receivers n grows up.

⁴When the number of receivers n is small, the average decoding delay in [11, 18] can be substantially smaller than the upper bound. However, when there are a large number of receivers, the average decoding delay in [11, 18] is very close to the upper bound, as shown in [18].

Another low-overhead feedback scheme was proposed in [20] for ARQ-based network coding, where only the leading and tail receivers feed back messages to the transmitter. However, it was not discussed in [20] whether their scheme can achieve constant decoding delay for any number of receivers. *To the extent of our knowledge, no previous scheme exists that can simultaneously guarantee a constant decoding delay and a constant feedback overhead as the number of receivers n grows, without sacrificing the throughput or reliability of wireless multicast.*

III. SYSTEM MODEL

A. Channel Model

We consider a broadcast packet erasure channel with one transmitter and n receivers, where the transmitter needs to send a stream of common information to all the receivers.

We assume a time-slotted system. In each time-slot, the transmitter generates one coded packet and broadcasts it to all the receivers. The channel from the transmitter to the receiver i in time-slot t is denoted as $c_i[t]$, where

$$c_i[t] = \begin{cases} 1 & \text{if a coded packet is successfully} \\ & \text{received by receiver } i \text{ at time-slot } t; \\ 0 & \text{otherwise.} \end{cases} \quad (1)$$

We assume that $c_i[t]$ is *i.i.d.* across time-slots, and define $\gamma_i \triangleq \mathbb{P}(c_i[t] = 1)$. Then, the capacity of this broadcast channel is $\inf_{1 \leq i \leq n} \gamma_i$ packets per time-slot.

It is assumed that on the feedback channel, the transmitter and each receiver can overhear each other, but the receivers may not overhear each other. Since all receivers are within the one-hop transmission range of the transmitter and in practice the feedback signals are usually sent at a much lower data rate than the normal data packet, similar to [9–23, 28], we assume that the feedback signals can be reliably detected.

B. Multicast Transmission Design

We propose a multicast transmission scheme called moving window network coding with anonymous feedback (MWNC-AF). This scheme achieves a constant decoding delay and a constant feedback overhead for any number of receivers n .

1) *Encoder:* Assume that the transmitter is infinitely backlogged, and that $\tilde{a}[t]$ bits are injected to the encoder buffer from the backlog at the beginning of time-slot t . The bits received by the encoder are assembled into packets of L bits. Let us define $a[t] = \tilde{a}[t]/L$, which is a rational number. We assume that $a[t]$ is *i.i.d.* across time-slots with mean $\lambda \triangleq \mathbb{E}[a[t]]$. Then, the number of packets that the encoder has received up to the beginning of time-slot t is $A[t]$, i.e.,

$$A[t] = \sum_{\tau=1}^t a[\tau]. \quad (2)$$

We note that only fully assembled packets can participate the encoding operation. The number of fully assembled packets up to the beginning of time-slot t is $\lfloor A[t] \rfloor$, where $\lfloor y \rfloor$ is the maximum integer no greater than y .

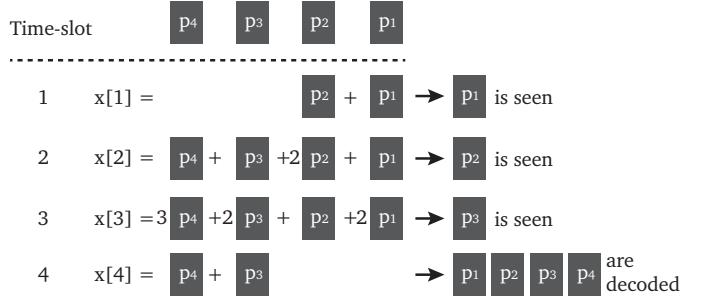


Fig. 1. An example for the decoding procedure of MWNC-AF.

Let $Z[t] \in \mathbb{N}$ denote the number of packets that have been removed from the encoder buffer by the end of slot t . The evolution of $Z[t]$ will be explained in Section III-B3, along with the anonymous feedback scheme. The coded packet $x[t]$ in time-slot t is generated by

$$x[t] = \sum_{m=Z[t-1]+1}^{\lfloor A[t] \rfloor} \alpha_{t,m} \times p_m, \quad (3)$$

where p_m denotes the m^{th} assembled packet of the encoder, “ \times ” is the product operator on a Galois field $GF(2^q)$, and $\alpha_{t,m}$ is randomly drawn according to a uniform distribution on $\{GF(2^q)\} \setminus \{0\}$.⁵ The values of $\lfloor A[t] \rfloor$ and $Z[t-1]$ are embedded in the packet header of $x[t]$. In addition, $\{\alpha_{t,m}\}$ are known at each receiver by feeding the same seed to the random number generators of the transmitter and all the receivers.

Let $W[t]$ denote the number of packets that participate in the encoding operation of $x[t]$ in time-slot t , which is called *encoder queue length* or *encoding window size* in this paper. According to Equation (3), $W[t]$ is determined by

$$W[t] = \lfloor A[t] \rfloor - Z[t-1]. \quad (4)$$

2) *Decoder:* To facilitate a clear understanding of the decoding procedure, we restate the definition of *a user seeing a packet* that was originally described in [10].

Definition 1. (*Seeing a packet*) We say that a receiver has “seen” a packet p_m , if it has enough information to express p_m as a linear combination of some packets p_{m+1}, p_{m+2}, \dots with greater indices.

We first use the example illustrated in Fig. 1 to explain the decoding procedure. In this example, the coded packets $x[1], x[2], x[3]$, and $x[4]$ are successfully delivered to a certain receiver in time slots 1-4, respectively. In time-slot 1, packet p_1 is “seen” at the receiver, because it can be expressed as

$$p_1 = x[1] - p_2.$$

Similarly, in time slots 2-4, packets p_2, p_3 , and p_4 are “seen” one by one, because they can be expressed as

$$\begin{aligned} p_2 &= x[2] - x[1] - p_4 - p_3, \\ p_3 &= x[3] - x[2] + x[1] - 2p_4, \\ p_4 &= -x[4] + x[3] - x[2] + x[1]. \end{aligned}$$

Now, packet p_4 is immediately decoded, because $x[1], x[2]$,

⁵The bit-size L of each packet is a multiple of q .

$x[3]$, and $x[4]$ are available at the receiver. Once p_4 is decoded, it can be substituted backwards to decode p_3 , p_2 , and p_1 one by one.

Let $S_i[t] \in \mathbb{N}$ be the number of packets that receiver i has “seen” by the end of time-slot t . Define a *virtual decoder queue*

$$Q_i[t] = A[t] - S_i[t] \quad (5)$$

for each receiver i . Then, $\lfloor Q_i[t] \rfloor$ is the number of “unseen” packets at receiver i at the end of time-slot t .

The decoding procedure of receiver i is described as follows:

At the beginning of time-slot t , receiver i has seen the packets $p_1, \dots, p_{S_i[t-1]}$. Suppose $c_i[t] = 1$, which implies that packet $x[t]$ is successfully delivered to receiver i in time-slot t . If $A[t] - S_i[t-1] \geq 1$, the packets participated in generating $x[t]$ contains at least one “unseen” packet $p_{S_i[t-1]+1}$. Receiver i eliminates the “seen” packets $p_1, \dots, p_{S_i[t-1]}$ from the expression of $x[t]$ in Equation (3) of $x[t]$, to obtain an expression of $p_{S_i[t-1]+1}$. If the field size 2^q is sufficiently large, then with a high probability, packet $p_{S_i[t-1]+1}$ can be expressed as a linear combination of the packets $p_{S_i[t-1]+2}, p_{S_i[t-1]+3}, \dots$ with greater indices. In other words, packet $p_{S_i[t-1]+1}$ is “seen” in time-slot t . Therefore, the value of $S_i[t]$ can be updated by

$$S_i[t] = S_i[t-1] + c_i[t] \mathbf{1}_{\{A[t]-S_i[t-1] \geq 1\}}, \quad (6)$$

where $\mathbf{1}_A$ is the indicator function of event A .

If

$$\lfloor A[t] \rfloor = S_i[t] \text{ or equivalently } \lfloor Q_i[t] \rfloor = 0, \quad (7)$$

i.e., receiver i has “seen” all the packets that participated in the encoding operation of $x[t]$, then receiver i can decode packet $p_{S_i[t]}$. Once $p_{S_i[t]}$ is decoded, it can be substituted backwards to sequentially decode $p_{S_i[t]-1}, p_{S_i[t]-2}, \dots$, for all “seen” packets.

3) *Anonymous Feedback*: According to the decoding procedure, if a packet p_m is “unseen” at some receiver i , it cannot be removed from the encoder buffer. Because, otherwise, receiver i will never be able to “see” packet p_m or decode it. In order to ensure reliable multicast, the departure process $Z[t]$ of the encoder buffer should satisfy

$$Z[t] \leq \min_{1 \leq i \leq n} S_i[t]. \quad (8)$$

We now provide a beacon-based anonymous feedback scheme, provided in Algorithm 1. In this algorithm, receiver i maintains a local parameter $Z_i[t]$, which is synchronized with $Z[t]$ at the transmitter through beacon signaling. Each time-slot is divided into a long data sub-slot and a short beacon sub-slot. In the data sub-slot, the transmitter broadcasts a data packet to all the receivers. Then, $S_i[t]$ is updated according to Equation (6). In the beacon sub-slot, if receiver i finds that $S_i[t] = Z_i[t-1]$, it sends out a beacon signal in the common feedback channel, requesting the transmitter not to remove the oldest packet in the encoder buffer. If the transmitter has detected the beacon signal (from one or more receivers), the transmitter will broadcast a beacon signal instantly within the

Algorithm 1: Beacon-based Anonymous Feedback

Feedback procedure of receiver i :

```

1  $Z_i[0] := 0;$ 
2  $S_i[0] := 0;$ 
3 for time slot  $t = 1 : \infty$  do
4   - - - - - Data sub-slot - - - - -
5   Receive coded packet  $x[t];$ 
6   Update  $S_i[t]$  according to Equation (6);
7   - - - - - Beacon sub-slot - - - - -
8   if  $S_i[t] = Z_i[t-1]$  then
9     Send out a beacon signal;
10     $Z_i[t] := Z_i[t-1];$ 
11   else
12     Detect beacon signal;
13     if no beacon signal is detected then
14       |  $Z_i[t] := Z_i[t-1] + 1;$ 
15     else
16       |  $Z_i[t] := Z_i[t-1];$ 
17     endif
18   endif
19 end

```

Reaction procedure of the transmitter:

```

20  $Z[0] := 0;$ 
21 for time slot  $t = 1 : \infty$  do
22   - - - - - Data sub-slot - - - - -
23   Send coded packet  $x[t];$ 
24   - - - - - Beacon sub-slot - - - - -
25   Detect beacon signal;
26   if beacon signal is detected then
27     Send out a beacon signal;
28      $Z[t] := Z[t-1];$ 
29   else
30     |  $Z[t] := Z[t-1] + 1;$ 
31     Remove the oldest packet from the encoder;
32   endif
33 end

```

same beacon sub-slot, and no packet will be removed from the encoder buffer, i.e.,

$$Z[t] = Z[t-1]. \quad (9)$$

In the beacon sub-slot, the transmitter serves as a relay for the beacon signal. This second beacon transmission guarantees that all receivers that are hidden from each other can still detect the beacon signal. If the transmitter has detected no beacon signal, it will remove the oldest packet in the encoder buffer, i.e.,

$$Z[t] = Z[t-1] + 1. \quad (10)$$

By detecting the existence of beacon signal in the beacon-sub-slot, each receiver synchronizes $Z_i[t]$ with $Z[t]$. A key benefit of this anonymous feedback scheme is that its overhead (i.e., one short beacon sub-slot) is constant for any number of receivers n .

Lemma 1. *The beacon-based anonymous feedback Algorithm*

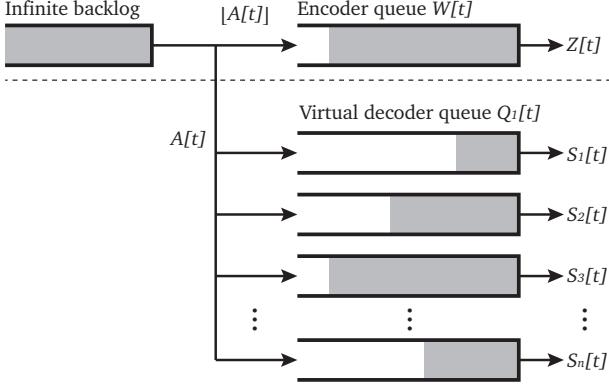


Fig. 2. The queueing model for MWNC-AF.

I satisfies

$$Z[t] = \min_{1 \leq i \leq n} S_i[t], \quad (11)$$

for all time-slots t .

The proof of Lemma 1 is relegated to [31] due to space limitations. Therefore, this anonymous feedback scheme not only ensures reliable multicast, but also keeps the encoder queue length as small as possible.

Remark 1. In practice, the length of beacon sub-slot should take into account the round-trip time of the beacon signal, and the delay due to signal detection and control reaction. Although the beacon sub-slots are reserved in this paper, anonymous feedback can also be implemented over a dedicated feedback channel on an orthogonal frequency band.

Equations (6) and (11) tell us that

$$Z[t-1] \leq \min_{1 \leq i \leq n} S_i[t] \leq Z[t-1] + 1. \quad (12)$$

Moreover, we have

$$\lfloor A[t] \rfloor \leq A[t] \leq \lfloor A[t] \rfloor + 1. \quad (13)$$

Combining Equations (4), (5), (12) and (13), it is easy to derive

$$\max_{1 \leq i \leq n} Q_i[t] - 1 \leq W[t] \leq \max_{1 \leq i \leq n} Q_i[t] + 1. \quad (14)$$

The relationship between the encoding window size $W[t]$ and the decoder queue $Q_i[t]$ is depicted in Fig. 2, as will be clarified subsequently. One can observe that the difference between the encoder queue length $W[t]$ and the maximum decoder queue length $\max_{1 \leq i \leq n} Q_i[t]$ is quite small.

In order to keep the queueing system stable, we require that the average injection rate $\mathbb{E}[a[t]] = \lambda$ is smaller than the capacity, i.e., $\lambda < \inf_{1 \leq i \leq n} \gamma_i$ for any number of receivers n . We define $\gamma \triangleq \inf\{\gamma_i, i = 1, 2, \dots\} > 0$ as a lower bound of the multicast capacity for all n , and $\rho \triangleq \frac{\lambda}{\gamma}$ as the traffic intensity of the system satisfying $\rho < 1$.

IV. PERFORMANCE ANALYSIS OF MWNC-AF

In this section, we rigorously analyze the decoding delay, encoding complexity, and decoding complexity of MWNC-AF for a given throughput $\mathbb{E}[a[t]] = \lambda$ packet/slot.

A. Decoding Delay

Let the time-slots t_i^j ($j = 1, 2, \dots$) be the decoding moments of receiver i satisfying Equation (7). Suppose that packet p_m is assembled at the encoder buffer in time-slot t , which is between two successive decoding moments $t_i^j < t \leq t_i^{j+1}$. Then, packet p_m will be decoded in time-slot t_i^{j+1} . The decoding delay of packet p_m at the receiver i is

$$D_{i,m} = t_i^{j+1} - t. \quad (15)$$

Then, assuming the system is stationary and ergodic, the delay violation probability that the decoding delay of a packet exceeds a threshold k is expressed as

$$\mathbb{P}(D_i > k) = \lim_{M \rightarrow \infty} \frac{1}{M} \sum_{m=1}^M \mathbf{1}_{\{D_{i,m} > k\}}. \quad (16)$$

The average decoding delay of receiver i is given by

$$\bar{D}_i = \lim_{M \rightarrow \infty} \frac{1}{M} \sum_{m=1}^M D_{i,m}. \quad (17)$$

Theorem 1. *In a network with n receivers, if the data injections $a[t]$ are i.i.d. across time-slots with an average rate $\mathbb{E}[a[t]] = \lambda$ and $\lambda < \gamma$, then for any receiver i , the asymptotic decay rate of the delay violation probability of MWNC-AF is*

$$-\lim_{k \rightarrow \infty} \frac{1}{k} \log \mathbb{P}(D_i > k) = \Phi_i,$$

where $\log(\cdot)$ denotes natural logarithm and

$$\Phi_i = \sup_{\theta \in \mathbb{R}} \left\{ -\log \mathbb{E} \left(e^{-\theta a[t]} \right) - \log (\gamma_i e^\theta + 1 - \gamma_i) \right\}. \quad (18)$$

In addition,

$$\Phi_i \leq \lambda \log \frac{\lambda}{\gamma_i} + (1 - \lambda) \log \frac{1 - \lambda}{1 - \gamma_i},$$

where the equality holds if $a[t] = \lambda$ for all t .

Proof: See Appendix B. ■

Theorem 1 has characterized the asymptotic decay rate of the delay violation probability $\mathbb{P}(D_i > k)$ of receiver i as k increases. It tells us that a constant packet injection process, i.e.,

$$a[t] = \lambda, \quad \forall t, \quad (19)$$

achieves the fastest decay rate among all *i.i.d.* packet injection processes. We note that the decoding delay of receiver i is independent of the channel condition γ_j ($j \neq i$) of other receivers. The reason for this is the following: By Equation (7), the decoding moment of receiver i is determined by $\lfloor Q_i[t] \rfloor = 0$. Further, according to Equations (2), (5), and (6), the evolutions of $Q_i[t]$ depend on the common data injection process $A[t]$ and channel conditions $c_i[t]$ of receiver i , both of which is independent of γ_j for $j \neq i$. In [24], the authors assumed an infinite encoding queue length and derived the same expression of the delay's decay rate for the *constant* injection process. On the other hand, MWNC-AF only requires a small encoding queue length, as shown in Theorem 3 and Section V. Furthermore, our analysis applies to any *i.i.d.* injection process.

Theorem 2. In a network with n receivers, if the amount of packet injected in each time-slot is $a[t] = \lambda$ for all t and $\lambda < \gamma$, then for any receiver i , the average decoding delay of MWNC-AF is upper bounded by

$$\bar{D}_i^{\text{Con}} \leq \frac{\gamma_i(1-\gamma_i)}{2(\gamma_i-\lambda)^2} + \frac{1}{\gamma_i-\lambda} + \frac{5}{2\lambda}. \quad (20)$$

In addition, as ρ increases to 1, \bar{D}_i^{Con} is asymptotically upper bounded by

$$\lim_{\rho \rightarrow 1^-} \frac{\bar{D}_i^{\text{Con}}}{1/(1-\rho)^2} \leq \frac{1-\gamma}{2\gamma}. \quad (21)$$

Proof: See Appendix C. \blacksquare

The analysis of [11] implies that, under a Bernoulli packet injection process, i.e.,

$$\mathbb{P}(a[t] = 1) = \lambda, \quad \mathbb{P}(a[t] = 0) = 1 - \lambda, \quad (22)$$

the average decoding delay \bar{D}_i^{Ber} of the receiver i with $\gamma_i = \gamma$ satisfies

$$\lim_{\rho \rightarrow 1^-} \frac{\bar{D}_i^{\text{Ber}}}{1/(1-\rho)^2} = \frac{1-\gamma}{\gamma}. \quad (23)$$

This and (21) tell us that for the bottleneck receiver(s), the average decoding delay under a constant injection process is at most one half of that of the Bernoulli packet injection process as ρ approaches 1.

It is known that to achieve a constant throughput $\lambda > 0$, the average decoding delay of batch-based rateless codes scales up at a speed no smaller than $O(\log n)$, as the number of receivers n increases [7, 8]. Theorems 1 and 2 tell us that the decoding delay of MWNC-AF remains constant for any number of receiver n . The average decoding delay performance of two ARQ-based coding schemes in [11, 18] is also bounded by some constant independent of n . As we have mentioned, the overhead of our anonymous feedback mechanism remains constant for any number of receiver n . But the feedback overhead of the schemes in [11, 18] scales up as n increases.

B. Encoding Complexity

We count one operation as one time of addition and multiplication on the Galois field. According to (3) and (4), the encoding complexity of packet $x[t]$ is $W[t]$, i.e., the number of fully assembled packets in the encoder buffer. For any given number of receivers n , the average encoding complexity of MWNC-AF to encode one coded packet is

$$\bar{W}_n = \lim_{M \rightarrow \infty} \frac{1}{M} \sum_{t=1}^M W[t], \quad (24)$$

In addition, the probability that the encoding complexity of MWNC-AF exceeds a threshold k is depicted by

$$\mathbb{P}(W_n > k) = \lim_{M \rightarrow \infty} \frac{1}{M} \sum_{t=1}^M \mathbb{1}_{\{W[t] > k\}}. \quad (25)$$

Theorem 3. In a network with n receivers, if the amount of packet injected in each time-slot is $a[t] = \lambda$ for all t and

$\lambda < \gamma$, then the average encoding complexity of MWNC-AF satisfies

$$\lim_{n \rightarrow \infty} \frac{\bar{W}_n}{\log n} \leq \frac{1}{\eta}, \quad (26)$$

where

$$\eta = \log \frac{\gamma e^\theta}{1 - (1-\gamma)e^\theta}, \quad (27)$$

and θ is the unique solution of the equation

$$e^{-\frac{\theta}{\lambda}} \cdot \frac{\gamma e^\theta}{1 - (1-\gamma)e^\theta} = 1, \quad 0 < \theta < -\log(1-\gamma). \quad (28)$$

The asymptotic decay rate of the probability that the encoding complexity exceeds a threshold is lower bounded by

$$-\lim_{k \rightarrow \infty} \frac{1}{k} \log \mathbb{P}(W_n > k) \geq \eta. \quad (29)$$

The inequalities in Equations (26) and (29) become equalities when $\gamma_1 = \dots = \gamma_n = \gamma$.

Proof: See Appendix D. \blacksquare

Theorem 3 tells us that the average encoding complexity of MWNC-AF increases as $O(\log n)$ when n increases, and the asymptotic decay rate of the encoding complexity of MWNC-AF does not depend on n .

In [32], it was shown that, for any coding scheme of wireless multicast that can achieve a constant throughput $\lambda > 0$, the average encoder queue length must scale up at a speed no slower than $O(\log n)$ as n increases. This, together with Theorem 3, tells us that MWNC-AF has achieved the optimal scaling law of the average encoder queue length. Interestingly, in MWNC-AF, a large encoder queue length does not necessarily transform into a long decoding delay, because the encoder buffer contains both the packets that have and have not been decoded by each receiver. According to [32], the encoder queue length of RLNC also grows at a speed of $O(\log n)$.

It is worthwhile to mention that from Equation (29), the probability that the encoder queue size $W[t]$ exceeds a threshold k decays exponentially when k is sufficiently large. Therefore, the encoder queue size $W[t]$ is unlikely to be much greater than its average value.

C. Decoding Complexity

For any given number of receivers n , the average decoding complexity Ω_n of MWNC-AF is measured by the average number of operations for decoding one data packet at each receiver.

Theorem 4. In a network with n receivers, if the amount of packets injected in each time-slot is $a[t] = \lambda$ for all t and $\lambda < \gamma$, then the average decoding complexity of MWNC-AF, denoted as $\bar{\Omega}_n$, satisfies

$$\lim_{n \rightarrow \infty} \frac{\bar{\Omega}_n}{\log n} \leq \frac{1}{\eta}, \quad (30)$$

where η is defined in Equation (27).

The inequality in Equation (30) becomes equalities when $\gamma_1 = \dots = \gamma_n = \gamma$.

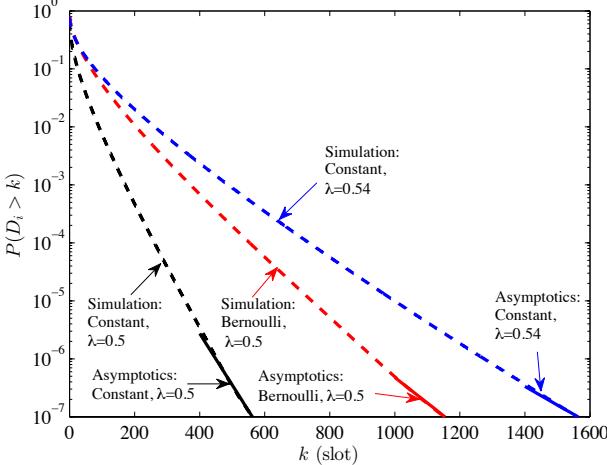


Fig. 3. Simulation results of the delay violation probability $\mathbb{P}(D_i > k)$ of MWNC-AF versus k for $\gamma_1 = \dots = \gamma_n = 0.6$.

Proof: See Appendix E. ■

Theorem 4 has characterized the average decoding complexity of MWNC-AF. Interestingly, we can observe from Equations (26) and (30) that both the average encoding and the average decoding complexity are of the form $\frac{1}{\eta} \log n + o(\log n)$.

For RLNC, in order to maintain a constant throughput $\lambda > 0$ as the number of receivers n increases, the average decoding complexity of RLNC needs to increase at a rate no slower than $O((\log n)^2)$.⁶ This and Theorem 4 tell us that the average decoding complexity of MWNC-AF scales much slower than that of RLNC.

V. NUMERICAL RESULTS

This section presents some simulation results that provide insights and trends as well as validate the theoretical results. We investigate three important aspects of performance: decoding delay, encoding complexity, and decoding complexity. We consider two network scenarios, one with homogeneous channel conditions where $\gamma_1 = \dots = \gamma_n = 0.6$, and the other with heterogeneous channel conditions where $\gamma_1 = \dots = \gamma_{n/2} = 0.6$ and $\gamma_{n/2+1} = \dots = \gamma_n = 0.8$. The simulation results are derived by running over at least 10^7 time-slots.

A. Decoding delay

Since the delay performance for a receiver of MWNC-AF is uniquely determined by the injection process and the channel conditions of the receiver, we focus on a receiver with $\gamma_i = 0.6$. Figure 3 illustrates the delay violation probability $\mathbb{P}(D_i > k)$ of MWNC-AF versus k . One can observe that the delay violation probability $\mathbb{P}(D_i > k)$ of MWNC-AF decays exponentially for sufficiently large k and matches the predicted

⁶The reason for this is as follows: Consider a RLNC code with a block size of B data packets. Its average decoding complexity for each packet is of the order $O(B^2)$, as shown in [33]. On the other hand, it was shown in [7] that in order to maintain a constant throughput $\lambda > 0$ as n increases, the block size B must scale up at a speed of $O(\log n)$.

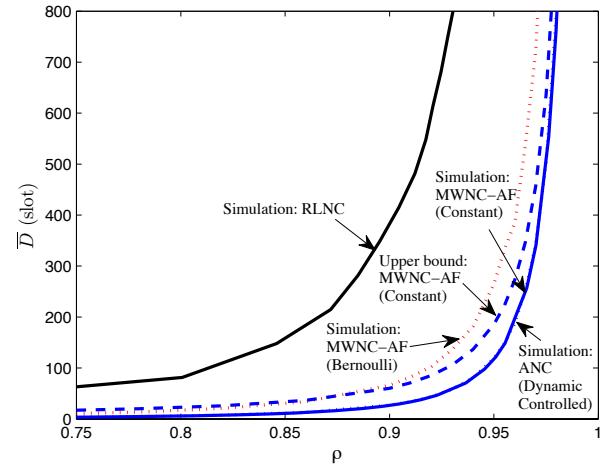


Fig. 4. Simulation results of the average decoding delay \bar{D}_i versus the traffic intensity ρ for $n = 100$ and $\gamma_1 = \dots = \gamma_n = 0.6$. The average decoding delay of ARQ-based network coding (ANC) with dynamic injection control [18] is very close to MWNC-AF. However, its feedback overhead grows linearly with n , while our scheme only requires a fixed amount of feedback overhead.

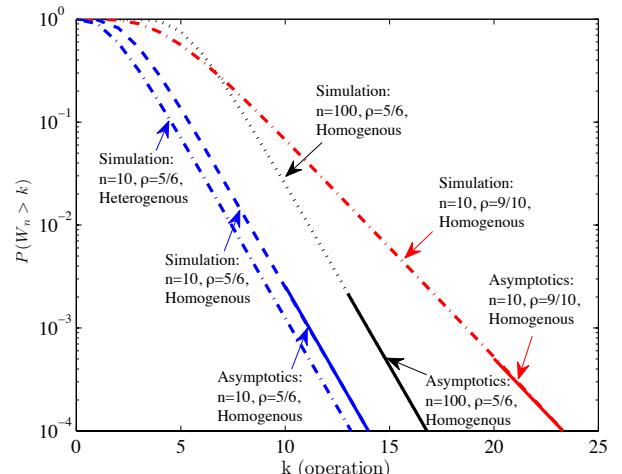


Fig. 5. Simulation results of the buffer overflow probability $\mathbb{P}(W_n > k)$ of MWNC-AF (constant injection process) versus k for both the homogeneous and heterogeneous channel conditions.

asymptotic decay rate from Equation (18). For $\lambda = 0.5$, as expected from our theoretical results, we find that a constant packet injection process achieves a much faster decay rate than the Bernoulli packet injection process. In addition, comparing the simulation results for $\lambda = 0.5$ and $\lambda = 0.54$, the delay violation probability $\mathbb{P}(D_i > k)$ for a fixed k increases with respect to λ . Therefore, there is a tradeoff between system throughput and delay violation probability. One can utilize Equation (18) to search for the parameters λ and γ for achieving an appropriate delay-throughput tradeoff depending on design requirements.

Figure 4 plots the average decoding delay \bar{D}_i of different network coding schemes versus the traffic intensity ρ in the homogeneous network setting, where $n = 100$ and $\gamma = 0.6$. One can observe the following results: First, the average

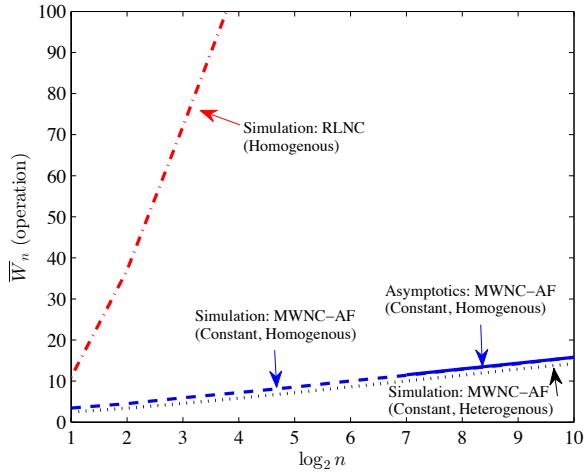


Fig. 6. Simulation results of the average encoding complexity \bar{W}_n versus the number of receivers n for both the homogeneous and heterogeneous channel conditions when $\rho = 0.9$.

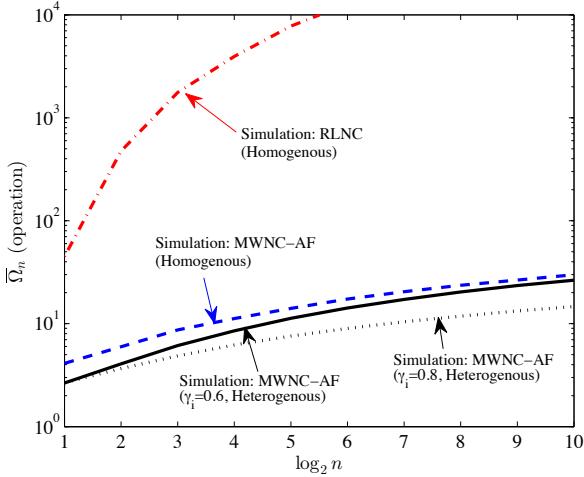


Fig. 7. Simulation results of the average decoding complexity $\bar{\Omega}_n$ versus the number of receivers n for both the homogeneous and heterogeneous channel conditions when $\rho = 0.9$. Note that the decoding complexities for receivers with $\gamma_i = 0.6$ and $\gamma_i = 0.8$ are different.

decoding delay of RLNC [4] with batched packet arrivals is much larger than that of MWNC-AF. We note that the average decoding delay of LT codes [5], Raptor codes [6] are larger than that of RLNC, because of an extra reception overhead. Second, the average decoding delay of MWNC-AF with constant packet injections is much smaller than that of MWNC-AF with Bernoulli packet injections. When ρ tends to 1, the constant packet injection process can reduce the average decoding delay of MWNC-AF by one half, over the Bernoulli packet injection process. Third, the average decoding delay of ARQ-based network coding (ANC) with dynamic injection control [18] is almost the same as that of MWNC-AF with constant packet injections. However, it is important to note that the scheme of [18] requires explicit feedback from each receiver, and thus its total feedback overhead grows as $O(n)$. In comparison, the feedback overhead of MWNC-AF with

constant packet injections remains the same, regardless of n . Finally, the delay upper bound in Equation (20) for MWNC-AF with constant packet injections is tight for high load.

B. Encoding complexity

Figure 5 plots the probability $\mathbb{P}(W_n > k)$ of MWNC-AF versus k for $\gamma = 0.6$. One can observe that $\mathbb{P}(W_n > k)$ decays exponentially for sufficiently large k and matches the predicted asymptotic decay rate η from Equation (27). Since η is a decreasing function of ρ and is irrelevant of n , the traffic intensity ρ has a larger impact on the probability $\mathbb{P}(W_n > k)$ than the number of receivers n , when k is sufficiently large. It can be also found that the decay rate of $\mathbb{P}(W_n > k)$ with the heterogeneous channel conditions is very close to that with the homogenous channel conditions.

In Fig. 6, we compare the average encoding complexity \bar{W}_n of different network coding schemes versus the number of receivers n , where $\rho = 0.9$ and $\gamma = 0.6$. In the homogeneous network scenario, we find that the increasing rate of the average encoding complexity of MWNC-AF matches well with the predicted asymptotic rate even for relatively small n . The expression $\frac{1}{\eta} \log n$ provides a close approximation of the average encoding complexity of MWNC-AF. One can also observe that the average encoding complexity of RLNC is of the order $O(\log n)$, but its pre-factor is larger than that of MWNC-AF, i.e., $1/\eta$. Therefore, the average encoding complexity of RLNC grows faster than that of MWNC-AF as n increases. When $n = 1024$ receivers, the average encoding complexity of MWNC-AF is less than 25. In the heterogeneous network scenario, the average encoding complexity is less than but close to that in the homogenous network setting.

C. Decoding complexity

In Fig. 7, we compare the average decoding complexity $\bar{\Omega}_n$ of different network coding schemes with the number of receivers n for $\rho = 0.9$ and $\gamma = 0.6$. In the homogeneous network scenario, one can observe that the average decoding complexity of RLNC is much larger than that of MWNC-AF. Our simulation results suggest that the average decoding complexity of MWNC-AF grows as $O(\log n)$. In particular, as n grows from 2 to 1024, the average decoding complexity of MWNC-AF is only increased by 8 times. However, the pre-factor of the average decoding complexity of MWNC-AF has not converged to $1/\eta$ as n grows to 1024. We believe that this convergence would occur at very large values of n , which is beyond our current simulation capability. In the heterogeneous network scenario, the average decoding complexity of receivers with good channel conditions is less than that of receivers with poor channel conditions. Note that we have chosen a relative large value of ρ (i.e., $\rho = 0.9$) in Figs. 6 and 7. The average encoding and decoding complexities of MWNC-AF will be even smaller as ρ decreases.

VI. CONCLUSION

In this paper, we have developed a joint coding and feedback scheme called Moving Window Network Coding

with Anonymous Feedback (MWNC-AF). We have rigorously characterized the decoding delay, encoding complexity, and decoding complexity of MWNC-AF. Our analysis has shown that MWNC-AF achieves both a constant decoding delay and a constant feedback overhead for any number of receivers n , without sacrificing the throughput or reliability of wireless multicast. In addition, we have proven that injecting a fixed amount of information bits into the MWNC-AF encoder buffer in each time-slot can achieve the shortest decoding delay among all *i.i.d.* data injection processes. We have also demonstrated that the encoding and decoding complexities of MWNC-AF grow as $O(\log n)$ as n increases. Our simulations show that, for $n = 1024$ receivers, the encoding and decoding complexities of MWNC-AF are still quite small. Therefore, MWNC-AF is suitable for wireless multicast with a large number of receivers.

APPENDIX A PRELIMINARIES

We first provide some preliminary results, which are helpful for our proofs.

According to Equations (2) and (5), we can derive

$$Q_i[t-1] + a[t] = A[t] - S_i[t-1]. \quad (31)$$

Using this and (6), one can derive the evolutions of the decoder queue $Q_i[t]$, given by

$$Q_i[t] = Q_i[t-1] + a[t] - c_i[t]1_{\{Q_i[t-1]+a[t]\geq 1\}}. \quad (32)$$

Accordingly, $\{Q_i[t]\}_t$ is a random walk on $[0, \infty)$, which has a steady state distribution if $\lambda < \gamma_i$.

Statement 1. *If the injection process is constant, i.e., $a[t] = \lambda$ for all t , then the decoder queues $\{Q_i[t]\}_{1 \leq i \leq n}$ are independent.*

When $a[t] = \lambda$ for all t , the injection and departure processes $\{a[t], c_i[t]\}_t$ are independent for different receivers. Then, Statement 1 follows from the queue evolution in Equation (32). For general packet injection processes, the decoder queues $\{Q_i[t]\}_{1 \leq i \leq n}$ are correlated.

Next, we show that the decoding procedure for any receiver i can be captured by a Markov renewal process. Since the system is symmetric, we only need to consider the decoding procedure at receiver 1. Let us define $T_j \triangleq t_1^{j+1} - t_1^j$. Since $\{t_1^j\}_j$ is set of the decoding moments of receiver 1 that satisfies Equation (7), we know that T_j represents the interval between the j^{th} decoding moment and the $(j+1)^{\text{th}}$ decoding moment and can be expressed as

$$T_j = \min\{t \geq 1 : Q_1[t_1^j + t] < 1\}. \quad (33)$$

The value of T_j depends on the queue length $Q_1[t_1^j]$ at the j^{th} decoding moment, which, according to the definition of decoding moments in Equation (7), is a value between 0 and 1. By combining the above equation with Equation (32), we can further rewrite the expression for T_j as

$$T_j = \min \left\{ t \geq 1 : Q_1[t_1^j] + \sum_{\tau=1}^t (a[\tau] - c_1[\tau]) < 1 \right\}, \quad (34)$$

with the following reasoning: 1) If $Q_1[t_1^j] + a[t_1^j + 1] \geq 1$, then according to Equation (32), we know that $Q_1[t_1^j + t] = Q_1[t_1^j] + \sum_{\tau=1}^t (a[t_1^j + \tau] - c_1[t_1^j + \tau])$ as long as $Q_1[t_1^j + \tau] \geq 1$ for all τ from 1 to $t-1$. 2) If $Q_1[t_1^j] + a[t_1^j + 1] < 1$, then although $Q_1[t_1^j + 1] \neq Q_1[t_1^j] + a[t_1^j + 1] - c_1[t_1^j + 1]$, both $Q_1[t_1^j + 1]$ and $Q_1[t_1^j] + a[t_1^j + 1] - c_1[t_1^j + 1]$ is less than 1, Thus Equation (34) gives an alternative expression for T_j defined in Equation (33).

Based on Equation (34), we can easily verify that the following equation holds:

$$\begin{aligned} & \mathbb{P}(Q_1[t_1^{j+1}] \leq x, T_j \leq t | Q_1[t_1^1], \dots, Q_1[t_1^j]; T_1, \dots, T_{j-1}) \\ &= \mathbb{P}(Q_1[t_1^{j+1}] \leq x, T_j \leq t | Q_1[t_1^j]), \forall x \in [0, 1], \forall t \in \mathbb{N}. \end{aligned}$$

The above equation indicates that the process $\{Q_1[t_1^j], T_j\}_j$ is a Markov renewal process, where $Q_1[t_1^j]$ is the initial state of the j^{th} renewal. Let K_j denote the number of packets that are injected to the encoder queue between time-slot t_1^j and time-slot t_1^{j+1} , then it can be expressed as

$$K_j = \left[Q_1[t_1^j] + \sum_{t=t_1^j+1}^{t_1^{j+1}} a[t] \right]. \quad (35)$$

To facilitate the analysis of the Markov renewal process $\{Q_1[t_1^j], T_j\}_j$, we denote \hat{Q}_1 as a random variable that has the same distribution as the steady state distribution of the initial state of the Markov renewal process. More precisely, $\mathbb{P}(\hat{Q}_1 > q) = \mathbb{P}(Q_1[t_1^\infty] > q)$ for any q .

For each $0 \leq q < 1$, we also define a random variable $\hat{T}(q)$, which can be expressed as

$$\hat{T}(q) = \left\{ t \geq 1 : q + \sum_{\tau=1}^t (\hat{a}[\tau] - \hat{c}_1[\tau]) < 1 \right\}, \quad (36)$$

where $\{\hat{a}[\tau]\}_\tau$ and $\{\hat{c}_1[\tau]\}_\tau$ are two groups of *i.i.d.* random variables that have the same distributions as $a[1]$ and $c_1[1]$, respectively. By comparing Equation (36) with Equation (34), we know that $\hat{T}(q)$ has the same distribution as T_j when $Q_1[t_1^j] = q$. Similarly, we define $\hat{K}(q) \triangleq \left[q + \sum_{t=1}^{\hat{T}(q)} \hat{a}[t] \right]$.

The reason why we define \hat{Q}_1 , $\hat{T}(q)$, and $\hat{K}(q)$ will become clear later in the proofs where the Markov renewal reward theory (Theorem 11.4 in [34]) is invoked. By the property of conditional expectation, we have

$$\begin{aligned} \mathbb{E}[\hat{T}] &\triangleq \mathbb{E}\left[\mathbb{E}[\hat{T}(\hat{Q}_1)|\hat{Q}_1]\right], \\ \mathbb{E}[\hat{T}^2] &\triangleq \mathbb{E}\left[\mathbb{E}[\hat{T}(\hat{Q}_1)^2|\hat{Q}_1]\right], \\ \mathbb{P}(\hat{T} > k) &\triangleq \mathbb{E}\left[\mathbb{P}(\hat{T}(\hat{Q}_1) > k|\hat{Q}_1)\right]. \end{aligned} \quad (37)$$

APPENDIX B PROOF OF THEOREM 1

In this subsection, we analyze the probability that the decoding delay experienced by a receiver exceeds a given threshold for the coding scheme with general *i.i.d.* injection processes. Without loss of generality, we focus on the analysis of the decoding delay of receiver 1.

Lemma 2. $\mathbb{P}(D_1 > k)$ is upper and lower bounded by

$$\frac{\mathbb{P}(\widehat{T} > k)}{\lambda \mathbb{E}[\widehat{T}]} \leq \mathbb{P}(D_1 > k) \leq \frac{k \mathbb{P}(\widehat{T} > k) + \sum_{b=k}^{\infty} \mathbb{P}(\widehat{T} > b)}{\lambda \mathbb{E}[\widehat{T}]}. \quad (38)$$

Remark 2. The proof of Lemma 2 is based on a simple observation. For a given delay threshold $k > 0$, the number of packets decoded after an interval T_j must satisfy the following conditions. 1) If $T_j \leq k$, there are no packets exceeding the threshold k . 2) If $T_j > k$, there are at most T_j packets which exceed the threshold k . 3) If $T_j > k$, there is at least one packet which exceed the threshold k .

The proof of Lemma 2 is relegated to [31] due to space limitations.

Lemma 2 shows the connection between $\mathbb{P}(D_1 > k)$ and $\mathbb{P}(\widehat{T} > b)$. Hence, subsequently we study the probability that the decoding interval in the steady state exceeds a certain threshold, i.e., $\mathbb{P}(\widehat{T} > b), b \in \mathbb{N}$.

Lemma 3. The decay rate of the decoding interval in the steady state is given by

$$-\lim_{b \rightarrow \infty} \frac{1}{b} \log \mathbb{P}(\widehat{T} > b) = \Phi_1, \quad (39)$$

where Φ_1 is the rate function defined in Equation (18).

Remark 3. We provide a sketch of the proof of Lemma 3 in the following. Based on Equation (34), given any initial state $0 \leq q < 1$, the event $\widehat{T}(q) > b, b \in \mathbb{N}$ is equivalent to the event $\sum_{\tau=1}^t (\widehat{c}_1[\tau] - \widehat{a}[\tau]) \leq q - 1, \forall 1 \leq t \leq b$. Since $\widehat{c}_1[\tau] - \widehat{a}[\tau], \forall \tau \in \mathbb{N}$ are i.i.d. random variables, the probability of such event happening at large b can be characterized using large deviation theories [35, 36]. Then, by combining with the fact $0 \leq q < 1$, we find the decay rate of $\mathbb{P}(\widehat{T} > b)$ that is independent of the initial states.

The proof of Lemma 3 is relegated to [31] due to space limitations.

Let us pick $\epsilon \in (0, \Phi_1)$. By the definition of decay rate, we can find $N_\epsilon \in \mathbb{N}$, such that $\forall b \in \mathbb{N}, b \geq N_\epsilon$, we have

$$e^{-b(\Phi_1+\epsilon)} < \mathbb{P}(\widehat{T} > b) < e^{-b(\Phi_1-\epsilon)}. \quad (40)$$

Combining Equations (38) and (40) yields, for k large enough,

$$\begin{aligned} \mathbb{P}(D_1 > k) &\leq \frac{e^{-k(\Phi_1-\epsilon)}}{\lambda \mathbb{E}[\widehat{T}]} \left(k + \frac{1}{1 - e^{-(\Phi_1-\epsilon)}} \right), \\ \mathbb{P}(D_1 > k) &\geq \frac{e^{-k(\Phi_1+\epsilon)}}{\lambda \mathbb{E}[\widehat{T}]} \end{aligned} \quad (41)$$

On account of $\lim_{k \rightarrow \infty} \frac{\log k}{k} = 0$, Equation (41) leads to

$$\Phi_1 - \epsilon \leq -\lim_{k \rightarrow \infty} \frac{1}{k} \log \mathbb{P}(D_1 > k) \leq \Phi_1 + \epsilon.$$

Since ϵ can be arbitrarily close to 0, the decay rate of decoding delay is proved.

Note that $f(x) = e^{-\theta x}$ is a convex function. By Jensen's Inequality, we have $\mathbb{E}[e^{-\theta a[t]}] \geq e^{-\theta \mathbb{E}[a[t]]} = e^{-\theta \lambda}$, where the

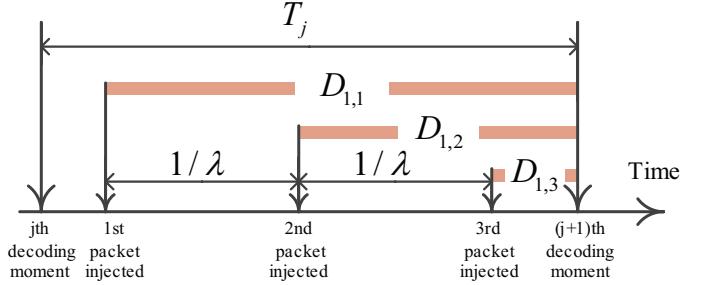


Fig. 8. Intuition behind the proof of Lemma 4.

equality holds when $a[t] = \lambda$. Combining with Equation (18), we have

$$\begin{aligned} \Phi_1 &\leq \sup_{\theta \in \mathbb{R}} \{ \theta \lambda - \log(\gamma_1 e^\theta + 1 - \gamma_1) \} \\ &\stackrel{(a)}{=} -\lambda \log \frac{\lambda}{\gamma_1} + (1 - \lambda) \log \frac{1 - \lambda}{1 - \gamma_1}, \end{aligned} \quad (42)$$

where in step (a), the supreme of $h(\theta) \triangleq \theta \lambda - \log(\gamma_1 e^\theta + 1 - \gamma_1)$ can be easily obtained noting that $h(\theta)$ is a concave function and there is a unique solution of the equation $\frac{d}{d\theta} h(\theta) = 0$.

APPENDIX C PROOF OF THEOREM 2

In this subsection, we focus on the injection process $a[t] = \lambda, \forall t$ which would incur the maximum decay rate of decoding delay. Without loss of generality, we study the average decoding delay of receiver 1.

Lemma 4. The average decoding delay of receiver 1 is upper bounded by

$$\overline{D}_1 \leq \frac{1}{2} \frac{\mathbb{E}[\widehat{T}^2]}{\mathbb{E}[\widehat{T}]} + \frac{5}{2\lambda}. \quad (43)$$

Remark 4. The decoding process forms a markov renewal process [34], and the decoding delay of each packet can be viewed as the residual time from the epoch when the packet is arrived, till the point when a decoding happens, which is illustrated in Figure 8. Then, we can use standard theorem for the markov renewal process to characterize the average packet decoding delay.

The proof of Lemma 4 is relegated to [31] due to space limitations.

Hence, it suffices to derive $\frac{\mathbb{E}[\widehat{T}^2]}{\mathbb{E}[\widehat{T}]}$.

Lemma 5. Let $Y(q) \triangleq q + \sum_{\tau=1}^{\widehat{T}(q)} (\widehat{a}[\tau] - \widehat{c}_1[\tau])$. Then, the first two moments of \widehat{T} can be given by

$$\begin{aligned} \mathbb{E}[\widehat{T}] &= \frac{\mathbb{E}\left[\mathbb{E}\left[Y(\widehat{Q}_1)|\widehat{Q}_1\right]\right] - \mathbb{E}[\widehat{Q}_1]}{\mu}, \\ \mathbb{E}[\widehat{T}^2] &\leq \left(\mathbb{E}\left[\mathbb{E}\left[Y(\widehat{Q}_1)|\widehat{Q}_1\right]\right] - \mathbb{E}[\widehat{Q}_1]\right) \left(\frac{\sigma^2}{\mu^3} - \frac{2}{\mu^2}\right), \end{aligned} \quad (44)$$

where $\mu = \lambda - \gamma_1$, $\sigma^2 = \gamma_1(1 - \gamma_1)$ are the mean and variance of $a[t] - c_1[t]$, respectively.

Remark 5. First, we show that, for any initial state q , \widehat{T} is a stopping time. Using Wald's identity, we are able to derive the first and second moments of \widehat{T} given the initial state q . Then, by combining the fact that $0 \leq q < 1$, we find the upper bounds for both the first and the second moments that are independent of the initial states.

The proof of Lemma 5 is relegated to [31] due to space limitations.

From Equation (44),

$$\frac{\mathbb{E}[\widehat{T}^2]}{\mathbb{E}[\widehat{T}]} \leq \frac{\sigma^2}{\mu^2} - \frac{2}{\mu} = \frac{\gamma_1(1 - \gamma_1)}{(\gamma_1 - \lambda)^2} + \frac{2}{\gamma_1 - \lambda}. \quad (45)$$

Together with Equation (43), Equation (20) is obtained. It is then straightforward to see Equation (21).

APPENDIX D PROOF OF THEOREM 3

According to Equation (14), to get the scaling law of $W[t]$, it suffices to find the scaling law of $\max_{1 \leq i \leq n} Q_i[t]$. Let Q_i be a random variable with a distribution as the steady state distribution of $Q_i[t]$. More precisely, $\mathbb{P}(Q_i > q) = \mathbb{P}(Q_i[\infty] > q)$ for any q . From Equations (5) and (6), we can obtain an upper bound of $\mathbb{P}(Q_i > q)$ for any q by letting $\gamma_i = \gamma$. Together with the fact that $\{Q_i[t]\}_{1 \leq i \leq n}$ are independent, as suggested by Statement 1, it suffices to prove the case when $\gamma_1 = \dots = \gamma_n = \gamma$.

Lemma 6. For an arbitrary receiver i with $\gamma_i = \gamma$,

$$-\lim_{k \rightarrow \infty} \frac{1}{k} \mathbb{P}(Q_i > k) = \eta,$$

where η defined in Equation (27).

Remark 6. Consider the number of “unseen” packets $[Q_i[t]]$ for receiver i . The number of data packets that have entered the encoder buffer up to time-slot t is $[A[t]] = [\lambda t]$. When $[Q_i[t]] \geq 1$, receiver i has at least one “unseen” packet. In this case, the service time for receiver i to see one more packet is *i.i.d.* geometrically distributed with mean $1/\gamma$. When $[Q_i[t]] = 0$, receiver i needs to wait for another data packet to enter the encoder buffer before serving it. We show, through a sample-path argument, that the evolution of $Q_i[t]$ can be closely characterized by a D/Ge/1 queue up to a constant difference in the queue length. Then, we can utilize Proposition 9 in [37] to derive the delay rate of $Q_i[t]$.

The proof of Lemma 6 is relegated to [31] due to space limitations.

As we discussed in the beginning of Section A, for the constant injections ($a[t] = \lambda, \forall t$), $\{Q_i[t]\}_{1 \leq i \leq n}$ are independent. Combining with Equation (14), we need to evaluate the expectation of the maximum of n *i.i.d.* random variables.

Let us pick $\epsilon \in (0, \eta)$. Then, by Lemma 6, we can find N_0 such that for any $k \in \mathbb{R}, k \geq N_0$,

$$e^{-(\eta+\epsilon)k} < \mathbb{P}(Q_i > k) < e^{-(\eta-\epsilon)k}, \forall i \in \{1, \dots, n\}.$$

Introduce two auxiliary random variables A_U and A_L with the following distributions, respectively.

$$\mathbb{P}(A_U > k) = \begin{cases} 1, & \text{when } k \leq N_0; \\ e^{-(\eta-\epsilon)(k-N_0)}, & \text{otherwise,} \end{cases}$$

$$\mathbb{P}(A_L > k) = \begin{cases} 1, & \text{when } k \leq N_1; \\ e^{-(\eta+\epsilon)(k-N_1)}, & \text{otherwise.} \end{cases}$$

where $N_1 = \frac{1}{\eta+\epsilon} \log \mathbb{P}(Q_i > N_0)$.

From $\mathbb{P}(A_L > 0) = \mathbb{P}(Q_i > N_0)$, $\mathbb{P}(A_U > N_0) = 1$ and the monotonicity,

$$\mathbb{P}(Q_i > N_0) \leq \mathbb{P}(Q_i > k) \leq 1, \forall k \in [0, N_0],$$

it can be verified that

$$\mathbb{P}(A_L > k) \leq \mathbb{P}(Q_i > k) \leq \mathbb{P}(A_U > k), \forall k \in \mathbb{R}. \quad (46)$$

Let $A_L^i, A_U^i, i = 1, \dots, n$ be independent random variables with same distribution as A_L, A_U , respectively. Then from Equation (46), we have

$$\mathbb{E} \left[\max_{1 \leq i \leq n} A_L^i \right] \leq \mathbb{E} \left[\max_{1 \leq i \leq n} Q_i \right] \leq \mathbb{E} \left[\max_{1 \leq i \leq n} A_U^i \right]. \quad (47)$$

The upper and lower bounds in the above equation correspond to the maximum of n *i.i.d.* exponential random variables, the expectation of which can be easily calculated [38]. $\mathbb{E} [\max_{1 \leq i \leq n} A_L^i] = N_1 + \frac{H_n}{\eta+\epsilon}$ and $\mathbb{E} [\max_{1 \leq i \leq n} A_U^i] = N_0 + \frac{H_n}{\eta-\epsilon}$, in which $H_n = \sum_{j=1}^n 1/j$ is the harmonic number. By taking the expectation of Equation (14), we have

$$\mathbb{E} \left[\max_{1 \leq i \leq n} Q_i \right] - 1 \leq \bar{W}_n \leq \mathbb{E} \left[\max_{1 \leq i \leq n} Q_i \right] + 1, \quad (48)$$

which, together with the fact that $\lim_{n \rightarrow \infty} H_n / \log n = 1$, yields

$$\frac{1}{\eta + \epsilon} \leq \lim_{n \rightarrow \infty} \frac{\bar{W}_n}{\log n} \leq \frac{1}{\eta - \epsilon}.$$

Since ϵ can be arbitrarily close to 0, Equation (26) is derived.

Next, we prove the decay rate of encoding complexity for a fixed number of receivers n .

From Equation (46), we have, for any $k \in \mathbb{R}$,

$$\mathbb{P} \left(\max_{1 \leq i \leq n} Q_i > k \right) \leq \mathbb{P} \left(\max_{1 \leq i \leq n} A_U^i > k \right), \quad (49)$$

$$\mathbb{P} \left(\max_{1 \leq i \leq n} Q_i > k \right) \geq \mathbb{P} \left(\max_{1 \leq i \leq n} A_L^i > k \right). \quad (50)$$

According to Proposition 3.2 in [39], the complementary cumulative distribution function of the maximum of independent exponentially distributed variables $\{A_U^i\}_{1 \leq i \leq n}$ is given by

$$\begin{aligned} \mathbb{P} \left(\max_{1 \leq i \leq n} A_U^i > k \right) &= \sum_{i=1}^n (-1)^{i+1} \binom{n}{i} e^{-i(\eta-\epsilon)(k-N_0)} \\ &= e^{-(\eta-\epsilon)(k-N_0)} \left(n + \sum_{i=2}^n (-1)^{i+1} \binom{n}{i} e^{-(i-1)(\eta-\epsilon)(k-N_0)} \right) \\ &= e^{-(\eta-\epsilon)(k-N_0)} (n + o(1)), \end{aligned}$$

where $o(1)$ converges to 0 as $k \rightarrow \infty$. By combining the above

equation with Equation (49), we have,

$$\begin{aligned} -\lim_{k \rightarrow \infty} \frac{1}{k} \log \mathbb{P} \left(\max_{1 \leq i \leq n} Q_i > k \right) &\geq \\ -\lim_{k \rightarrow \infty} \frac{1}{k} \log \left(e^{-(\eta-\epsilon)(k-N_0)} (n+o(1)) \right) &= \eta - \epsilon. \end{aligned}$$

The other direction can be proven using the same procedure on Equation (50). It is clear from Equation (14) that $\mathbb{P}(W_n > k)$ has the same decay rate as $\mathbb{P}(\max_{1 \leq i \leq n} Q_i > k)$, thus Equation (29) is proved.

APPENDIX E PROOF OF THEOREM 4

Similar to the proof of Theorem 3, we prove for the case when $\gamma_1 = \dots = \gamma_n = \gamma$.

Without loss of generality, we focus on receiver 1. Take one time of addition and multiplication as one operation. Let Q_i be a random variable whose distribution is the same as the steady state distribution of $Q_i[t]$.

At time-slot t_1^j , all the packets in the encoder buffer $W[t_1^j]$ have been decoded at receiver i . Then, after a decoding interval of T_j , at time-slot t_1^{j+1} , K_j more packets are decoded at receiver i , as shown in Figure 9. To upper bound the decoding complexity, we need an upper bound of $W[t]$ for each time-slot $t \in (t_1^j, t_1^j + T_j]$. An obvious upper bound is $W[t_1^j] + K_j \geq W[t]$. Thus, each coded packet received within the interval $(t_1^j, t_1^j + T_j]$ can be encoded from at most a number of $W[t_1^j] + K_j$ packets. As a result, the coefficients of the K_j received coded packets can form a decoding matrix with K_j rows and $W[t_1^j] + K_j$ columns, where each row corresponds to a data packet and each column corresponds to a coded packet received. We categorize the decoding process into two steps.

Step 1: Since the packets corresponding to the first $W[t_1^j]$ columns have been decoded in slot t_1^j , the receiver could apply a maximum number of $K_j W[t_1^j]$ operations so that the $K_j \times (W[t_1^j] + K_j)$ matrix is reduced to a $K_j \times K_j$ matrix.

Step 2: Gauss-Jordan elimination is performed to decode from the reduced matrix which takes $O((K_j)^3)$ operations.

In the following we derive the average decoding complexity taken by Step 1 and Step 2 respectively.

Lemma 7. *Let $\bar{\Omega}_{n,1}$ denote the average complexity taken by Step 1 to decode a packet, then*

$$\bar{\Omega}_{n,1} \leq \bar{W}_n + \bar{C}_U, \quad (51)$$

in which \bar{W}_n denotes the average encoding complexity, and \bar{C}_U is a constant independent of n .

Remark 7. The motivation of Lemma 7 is the following. In Step 1, at most $K_j W[t_1^j]$ operations are needed for the K_j packets to be decoded. As a result, the average decoding complexity for each packet in Step 1 is upper bounded by $W[t_1^j]$, which scales in the same order as \bar{W}_n as n increases.

The proof of Lemma 7 is relegated to [31] due to space limitations.

For ease of presentation, we assume there exists a constant M_C such that Gauss elimination for m packets in Step 2 takes at most $M_C m^3$ operations.

Lemma 8. *Let $\bar{\Omega}_{n,2}$ denote the average complexity taken by Step 2 to decode a packet, then*

$$\bar{\Omega}_{n,2} \leq \frac{M_C \mathbb{E} \left[\mathbb{E} \left[(\lambda \hat{T}(\hat{Q}_1) + 1)^3 | \hat{Q}_1 \right] \right]}{\lambda \mathbb{E}[\hat{T}].} \quad (52)$$

Remark 8. For the K_j packets to be decoded, Step 2 takes at most $M_C K_j^3$ operations. For constant data injection process, given the initial state $Q_1[t_1^j]$, K_j and T_j uniquely determine each other. Thus, it is possible to upper bound the decoding complexity taken by Step 2 by expressions only involving the decoding intervals $\{T_j\}_{j=1,\dots}$. Since the decoding process forms a markov renewal process, applying standard theorem for the markov renewal process leads to Lemma 8.

The proof of Lemma 8 is relegated to [31] due to space limitations.

The aggregate decoding complexity is the sum of the complexity by Step 1 and Step 2. Thus,

$$\begin{aligned} \bar{\Omega}_n &= \bar{\Omega}_{n,1} + \bar{\Omega}_{n,2} \\ &\leq \bar{W}_n + \bar{C}_U + \frac{M_C \mathbb{E} \left[\mathbb{E} \left[(\lambda \hat{T}(\hat{Q}_1) + 1)^3 | \hat{Q}_1 \right] \right]}{\lambda \mathbb{E}[\hat{T}].} \end{aligned} \quad (53)$$

By Lemma 3, $\mathbb{P}(\hat{T} > k)$ decays exponentially for large enough k , thus $\mathbb{E}[\hat{T}^3]$ is finite. It can be “seen” in Equation (36) that for given λ , the distribution of \hat{T} is independent of the number of receivers n , thus the last term in Equation (53) remains unchanged for arbitrarily large n .

To find the lower bound of the average decoding complexity, we have the following lemma.

Lemma 9. *The average decoding complexity of MWNC-AF is lower bounded by the average encoding complexity of MWNC-AF.*

$$\bar{\Omega}_n \geq \bar{W}_{n-1} - \bar{C}_L, \quad (54)$$

in which \bar{W}_{n-1} denotes the average encoding complexity given there are $n-1$ receivers, and \bar{C}_L is a constant independent of n .

The proof of Lemma 9 is relegated to [31] due to space limitations.

From Equation (53) and (54), we could have

$$\lim_{n \rightarrow \infty} \frac{\bar{\Omega}_n}{\log n} = \lim_{n \rightarrow \infty} \frac{\bar{W}_{n-1}}{\log n} = \lim_{n \rightarrow \infty} \frac{\bar{W}_n}{\log n}.$$

With Equation (26), Equation (30) is proved.

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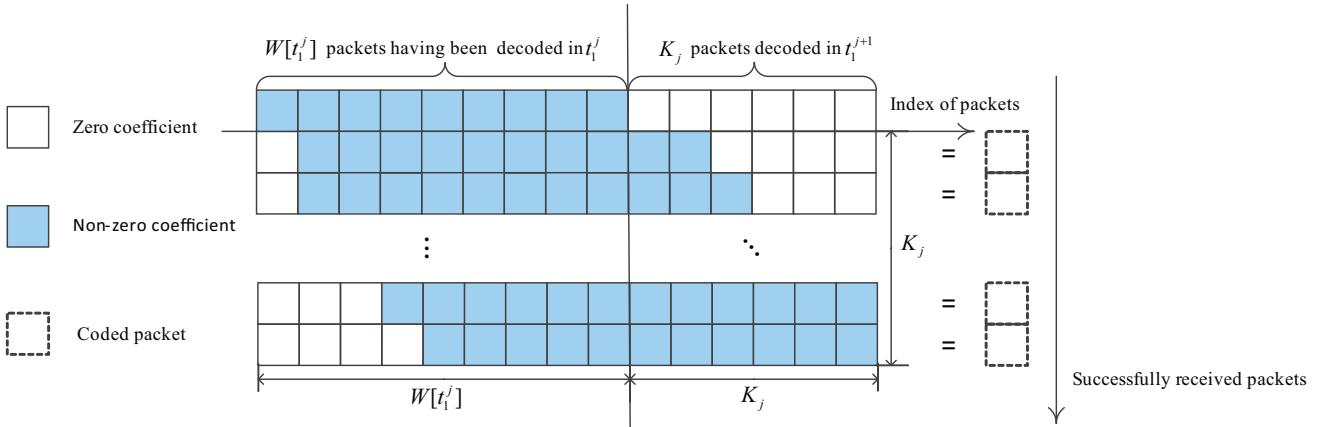


Fig. 9. Intuition behind the proof of Theorem 4.

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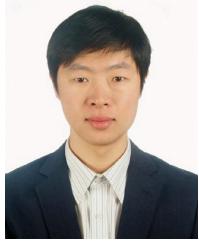
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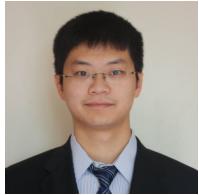
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